

Advisor Financial Services, Inc.

Privacy Principles

At Advisor Financial Services, Inc., we understand the importance of maintaining the privacy and confidentiality of our clients' personal and financial information. We are committed to upholding these privacy principles to protect the trust our clients place in us.

Confidentiality and Protection: We consider the protection of client information a fundamental responsibility. While gathering information is essential to providing quality service, protecting client trust is paramount. We offer a secure file portal for exchanging confidential information, and if you request documents by email, they will be password protected. We do not share documents with third parties, except for government reporting requirements such as tax returns. If you have any concerns, please bring them to our attention immediately.

Information Collection and Retention: We collect only the information necessary to conduct our business, provide services, and offer products or opportunities beneficial to our clients. This may include personal details such as your name, contact information, tax identification, income, and net worth data. Information is retained in accordance with applicable regulations.

Accuracy of Client Records: We strive to maintain accurate client records and will promptly address requests to correct any inaccuracies.

Employee Access: Access to personally identifiable client information is restricted to those employees who need it to perform their duties. All employees are required to maintain confidentiality regarding client information.

Security Standards: We have implemented reasonable security measures to prevent unauthorized access to client information.

Sharing Information: We may share client information with third parties only as necessary to provide advisory, tax, custodial, or administrative services, to comply with legal requirements, or with client authorization. This is done with careful evaluation to ensure the value of the service. The information shared is always the minimum necessary for the third party to offer its service. We may also share information with affiliated and unaffiliated companies to assist in providing our services, in compliance with legal requirements, or with client permission.

Business Relationships with Third Parties: If we provide personally identifiable client information to a third party with whom we have a business relationship, we ensure that they maintain confidentiality consistent with the privacy of our clients.

Disclosure of Privacy Principles: These Privacy Principles reflect our commitment to protecting the privacy of our clients' information. Clients can review these principles at any time and contact us with any questions at 844-392-3400 or via email at Invest@Advisor.org.

These Privacy Principles may change without prior notice and are intended as general guidance. They do not constitute a contract or modify any agreements between our firm and our clients.

